UCTE Compliance Monitoring and Enforcement Process

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Why CMEP?

- definition of security standards in the Operation Handbook implies a procedure to recurrently monitor their compliance
- this procedure will enable the implementation of preventive measures to ensure the highest level of security in the UCTE system
- this tool aims at strengthening the transparency and credibility of TSO’s performance within the community as well as towards stakeholders
Operation Handbook, CMEP and Multilateral Agreement will form a comprehensive package of complementary tools:

- OH defining the standards
- MLA-procedure in case of infringement
- CMEP designed as a permanent preventive process
Learning from the others’ experience

- NERC (US blackout recommendations)
  - make the standards mandatory and enforceable
  - redefine responsibilities
  - strengthen the (existing) compliance process
  - track the implementation of the recommendations
CMEP Processes

- **compliance monitoring process** = the process that monitors and measures system performance to ensure compliance with the standards of the Operation Handbook

- **enforcement process** = the process that evaluates the results of the compliance review and administers the distribution of non-compliance notifications

- compliance monitoring and enforcement activities are set to be run separately, but complementary
The sequence of activities

- **STANDARDS**: establishing monitoring criteria
- **MONITORING**: evaluating performance related to standards
- **ENFORCEMENT**: ensuring compliance with standards
The monitoring process will:

- define **compliance criteria / compliance levels** related to OH standards
- define the **frequency of the compliance process**
- define **monitoring procedure**: what information must be retained and presented
- define **competent bodies** responsible for the assessment
The enforcement process will:

- encourage full compliance with the Operation Handbook standards
- be administered in a fair and transparent way
- recognize compliance and non-compliance
- evaluate severity and frequency/duration of non-compliance
- administer distribution of non-compliance notifications (no financial penalties)
- monitor measures for coming back to compliance
Challenges: this process shall:

- be **agreed** by all TSOs
- be **supported** by the community and major stakeholders
- be implemented in the most **efficient** and **cost effective** manner and present results in an **understandable** and **transparent** way
- achieve **credibility** and **confidence** by stakeholders
- **enlighten** towards stakeholders structural issues non-solvable by TSOs